



PATENT
2024730-7034612001
(03-248)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Michael P. Wallace

Serial No.: 10/627,024

Filed: July 25, 2003

For: METHOD AND SYSTEM FOR
DELIVERING AN IMPLANT UTILIZING A
LUMEN REDUCING MEMBER

Group Art Unit: 3739

Confirmation No.: 3679

Examiner: Not-Yet-Assigned

REQUEST FOR CORRECTED FILING RECEIPT TRANSMITTAL

M/S: Non-Fee
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a request for a corrected filing receipt for the above-identified application. Enclosed are the following:

- ☒ Request for a corrected filing receipt (2 pages);
- ☒ Marked up version of filing receipt (2 pages);
- ☒ Application Data Sheet (3 pages);

CERTIFICATE OF MAILING TRANSMISSION
(37 C.F.R. §1.8)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Services on the date shown below with sufficient postage as "First Class Mail" to addressee in an envelope addressed to the Commissioner for Patents, Alexandria VA 22313-1450

November 5, 2003
Date of deposit

Maritza D. Kidd
Name of Person transmitting Paper
Maritza D. Kidd
Signature of Person depositing Paper

- ☒ Copy of Assignment dated July 23, 2003 and cover sheet (4 pages);
- ☒ Copy of declaration (2 pages);
- ☒ Copy of first page of Specification (1 page);
- ☒ Copy of Utility Application transmittal (1 page);
- ☒ Transmittal with certificate of mailing (2 pages);
- ☒ Return receipt postcard

Dated: 11-5-03

Respectfully submitted,
BIGHAM McCUTCHEN LLP
By: David T. Burse
David T. Burse
Reg. No. 37,104

BINGHAM McCUTCHEN LLP
Three Embarcadero, Suite 1800
San Francisco, CA 94111-4067
(650) 849-4400



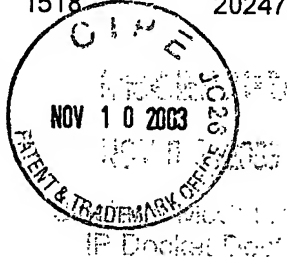
UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/627,024	07/25/2003	3739	1518	2024730/7034612001	11	58	4

Bingham McCutchen LLP
Suite 1800
Three Embarcadero Center
San Francisco, CA 94111-4067

D. BJPSG



CONFIRMATION NO. 3679

FILING RECEIPT



OC000000011142402

Date Mailed: 10/30/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael P. Wallace, Fremont, CA;

Assignment For Published Patent Application
Scimed Life Systems, Inc.

Domestic Priority data as claimed by applicant

Foreign Applications

~~REPUBLIC OF KOREA 2002-44328-07/26/2002~~

If Required, Foreign Filing License Granted: 10/21/2003

Projected Publication Date: 02/05/2004

Non-Publication Request: No

Early Publication Request: No

Title

Method and system for delivering an implant utilizing a lumen reducing member

Preliminary Class

606

DOCKETED

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



PATENT
2024730-7034612001
(03-248)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Michael P. Wallace

Serial No.: 10/627,024

Filed: July 25, 2003

**For: METHOD AND SYSTEM FOR
DELIVERING AN IMPLANT UTILIZING A
LUMEN REDUCING MEMBER**

Group Art Unit: 3739

Confirmation No.: 3679

Examiner: Not-Yet-Assigned

REQUEST FOR CORRECTED FILING RECEIPT

M/S: Non-Fee
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

As a result of the submission of the Application Data Sheet and assignment dated July 23, 2003 (enclosed herewith), please furnish the undersigned with a corrected Filing Receipt for the above-identified application. A marked up copy of the Filing Receipt is attached. The correction needed is as follows:

Please insert Scimed Life System, Inc. as the assignee of the above-identified application in the filing receipt. Assignee to read as follow, in corrected filing receipt:

Assignment For Published Patent Application
Scimed Life Systems, Inc.

Please delete citation "REPUBLIC OF KOREA 2002-44328 07/26/2002" under Foreign Application. The above-identified application **does not** claim priority or relate to any Korean application, according to the first page of the specification, declaration or utility application transmittal filed on July 25, 2003 (copies enclosed herewith)

The above corrections fix clerical mistakes made by the U.S. Patent and Trademark Office.

Although applicant believes no fee is required, the Commissioner is authorized to charge Bingham McCutchen's Account No. 50-2518 for any fees that may be associated with this communication.

Dated: 11-5-03

Respectfully submitted,
BINGHAM McCUTCHEN LLP
By: David T. Burse
David T. Burse
Reg. No. 37,104

BINGHAM McCUTCHEN LLP
Three Embarcadero, Suite 1800
San Francisco, CA 94111-4067
(650) 849-4400